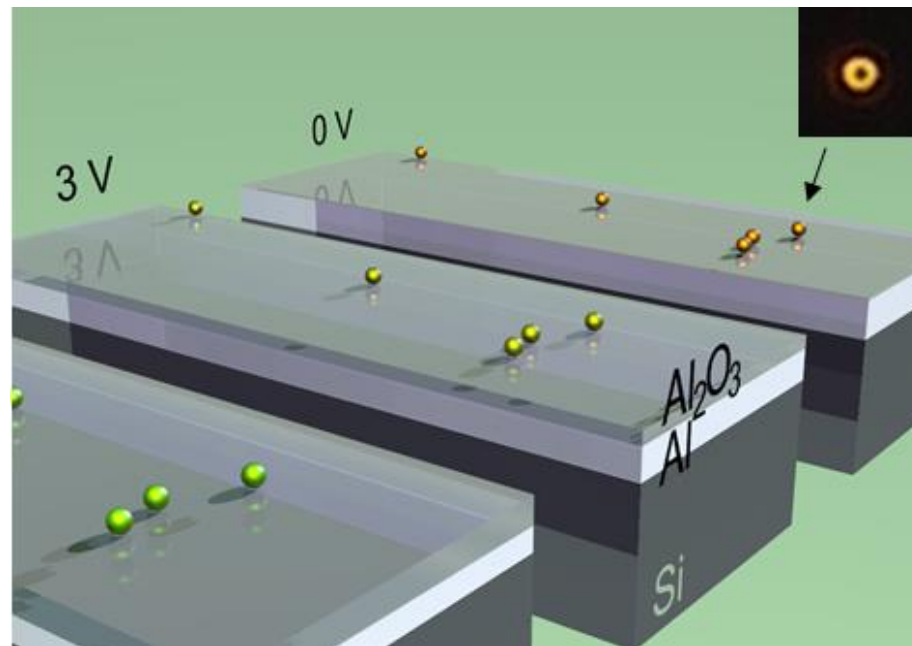


Voltage Controlled Nanoparticle Plasmon Resonance Tuning through Anodization

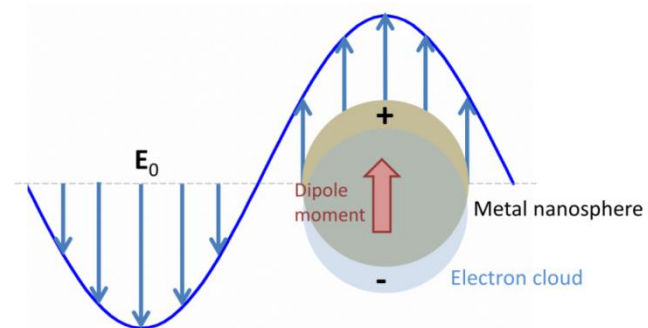
Chatdanai Lumdee and Pieter G. Kik

CREOL, the College of Optics and Photonics, UCF, Orlando, FL, USA



Introduction

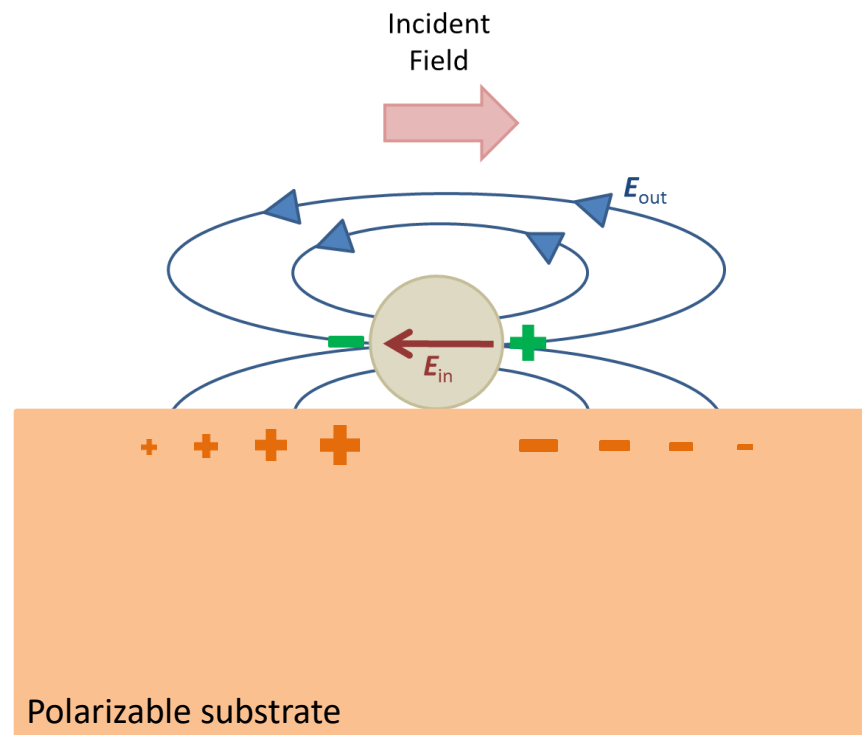
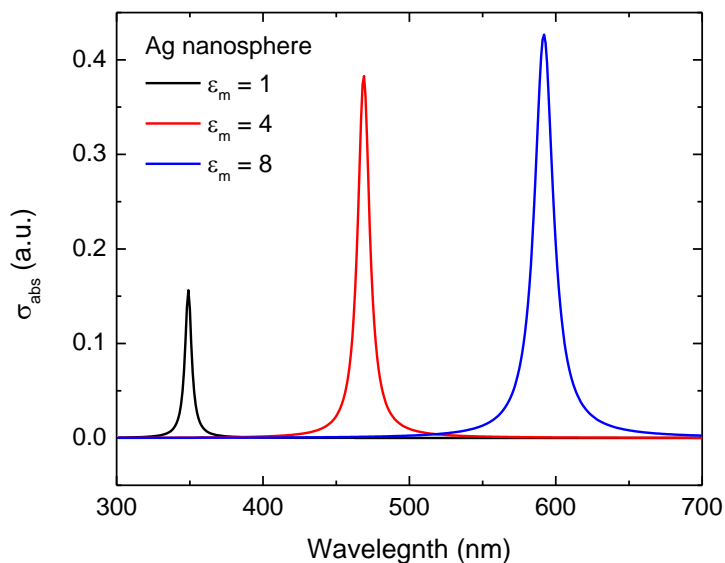
- Localized Surface Plasmon Resonance (LSPR)
 - Provide a strong field enhancement
 - Application:
 - SERS, non-linear enhancement, solar cell, etc.



Introduction

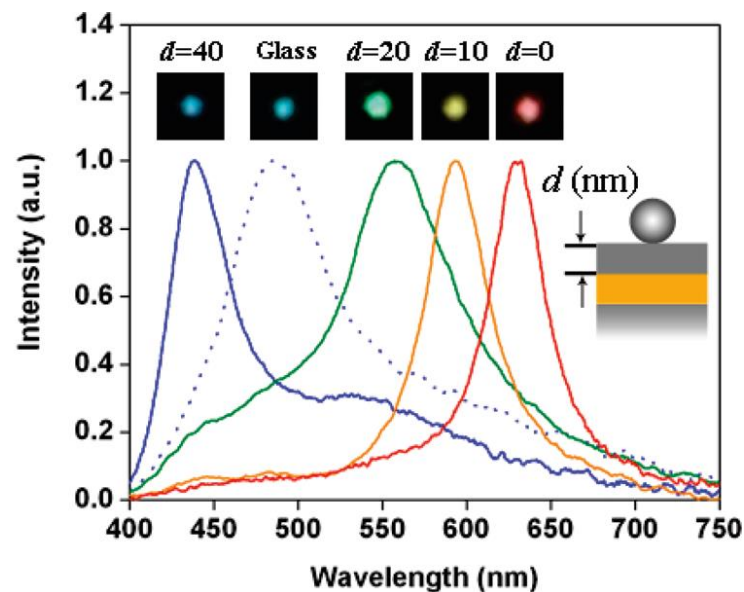
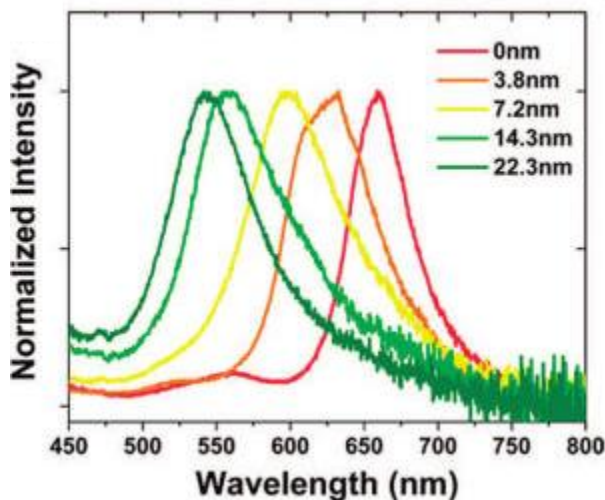
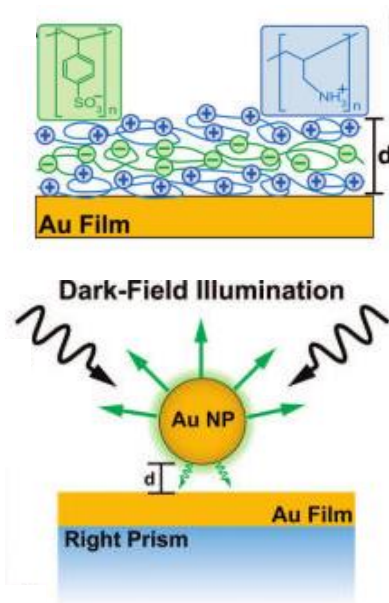
- Localized Surface Plasmon Resonance (LSPR)
 - Provide a strong field enhancement
 - Application:
 - SERS, non-linear enhancement, solar cell, etc.

- Plasmon Resonance Tuning
 - Resonance wavelength is crucial



Substrate based Resonance Tuning

- Controlling particle-substrate interaction
 - Two single particle resonance tuning examples:



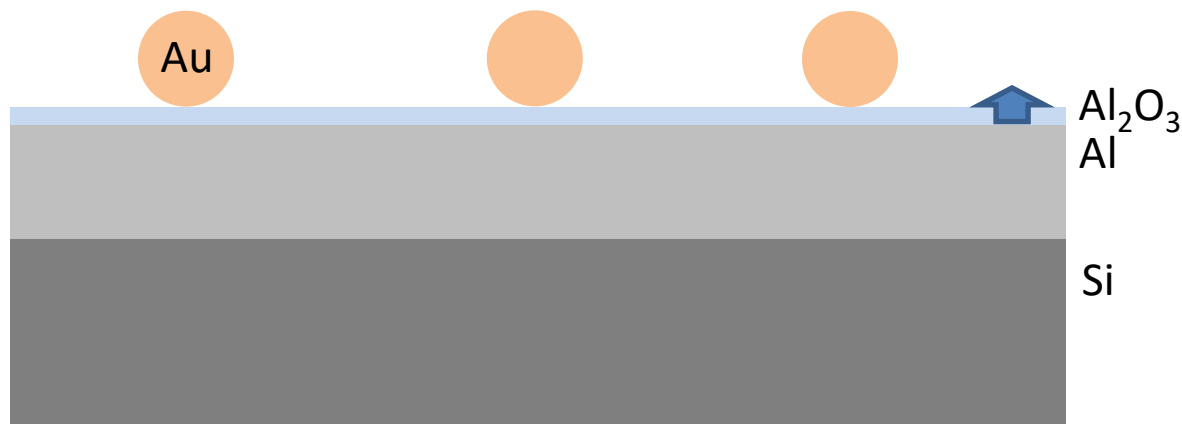
J. J. Mock, et al. Nano Lett. 2008, 8, 2245–2252

M. Hu, et al. J. Phys. Chem. C 2010, 114, 7509–7514

- Challenges: fixed resonance, thermal stability?, layer adhesive?

Gold nanoparticle on Aluminum film

- Challenges: fixed resonance, thermal stability?, layer adhesive?
- **Goals: tunable resonance, thermally stable, good adhesion**
- Proposed system:
 - Gold nanoparticles on an aluminum film
 - Au provides resonance in visible with high stability
 - Al high plasma frequency, voltage controlled growth of a stable Al_2O_3 spacer layer



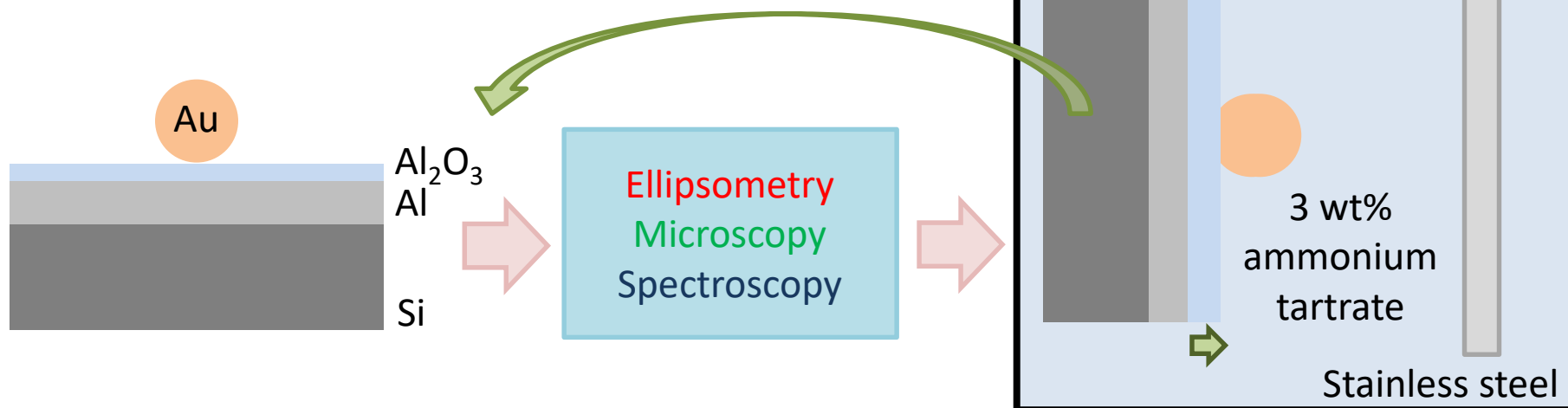
Voltage controlled resonance tuning !

Experimental Process

- **Sample**
 - 100 nm Al film on silicon wafer (with native Al_2O_3 layer)
 - 60 nm diameter Au nanoparticles were deposited on the substrate

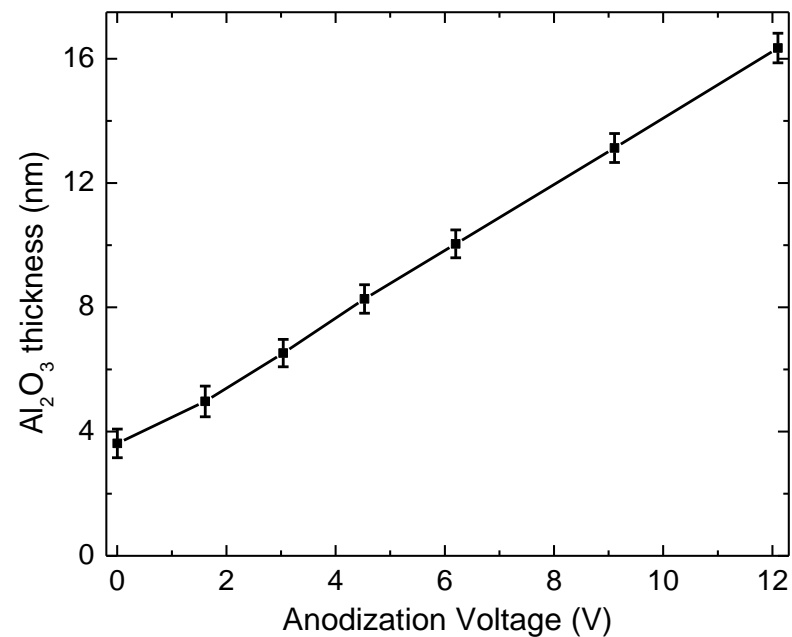
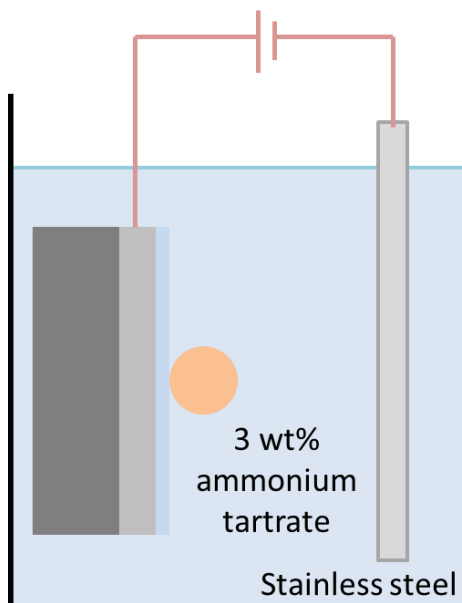
- **Ellipsometry, microscopy, and spectroscopy**
 - Measure Al_2O_3 thicknesses
 - Scattering images,
 - Scattering spectra,

- **Anodization**
 - Voltage controlled Al_2O_3 thickness



Measurement results

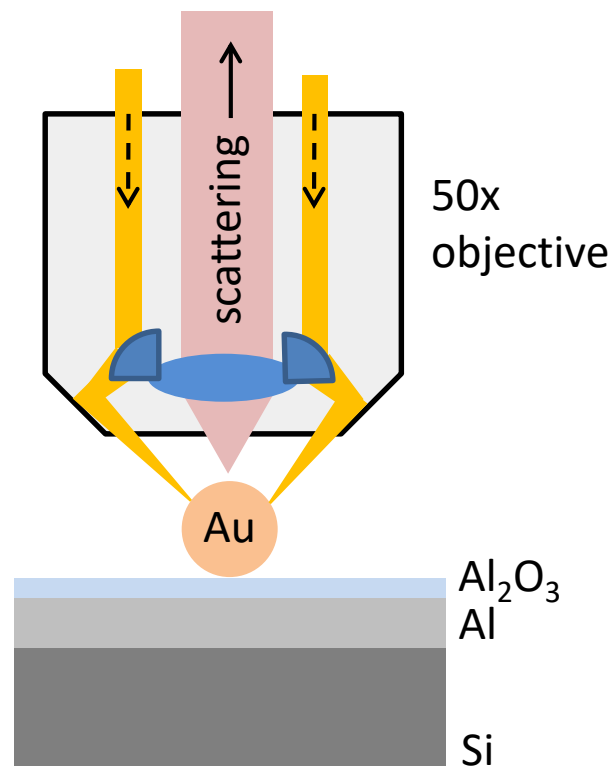
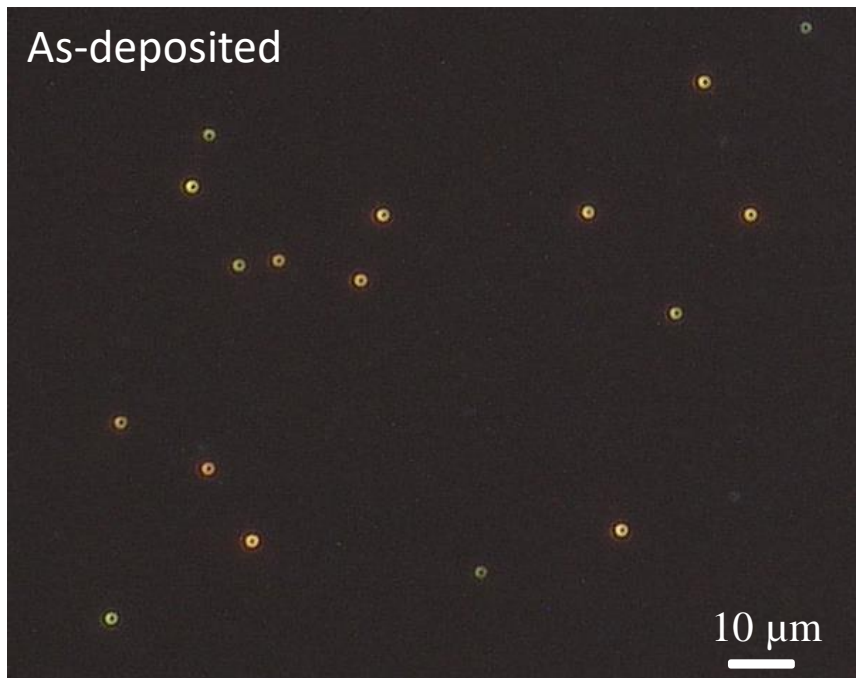
- Ellipsometry
 - Linearly voltage controlled Al_2O_3 thickness \rightarrow voltage controlled interaction



Measurement results

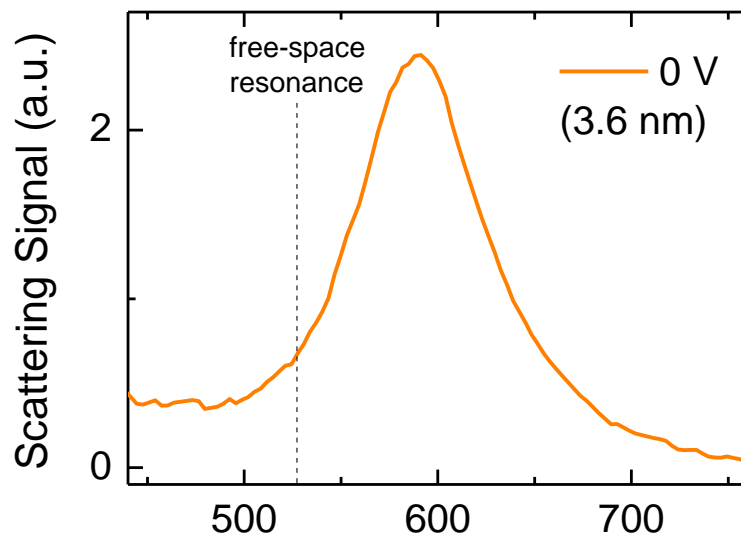
- Ellipsometry
 - Linearly voltage controlled Al_2O_3 thickness \rightarrow voltage controlled interaction

- Dark-field microscopy
 - Well separated, ring-shaped images

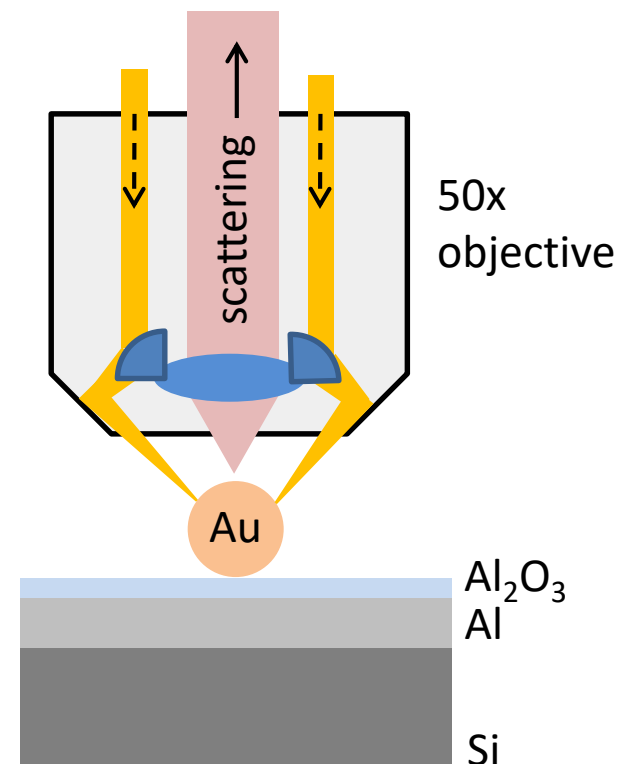


Measurement results

- Ellipsometry
 - Linearly voltage controlled Al_2O_3 thickness \rightarrow voltage controlled interaction
- Dark-field microscopy
 - Well separated, ring-shaped images
- Single particle spectroscopy
 - Red-shift compared to a free-space resonance

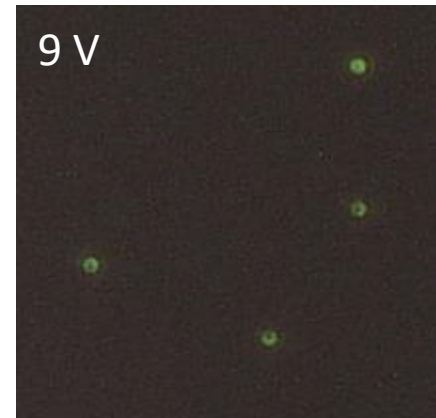
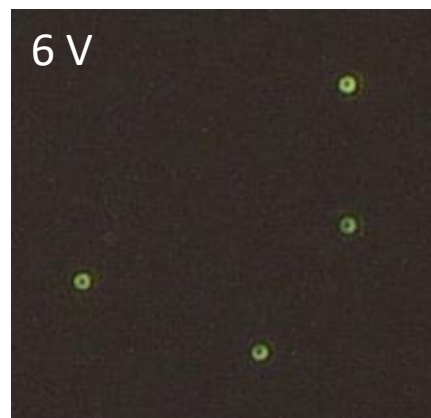
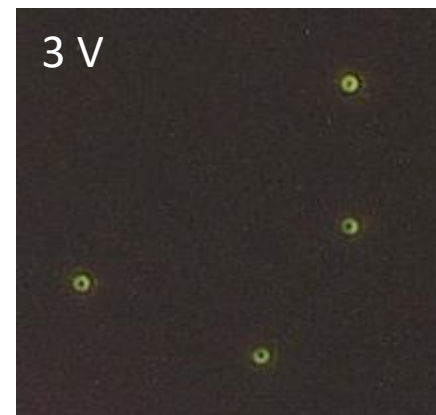
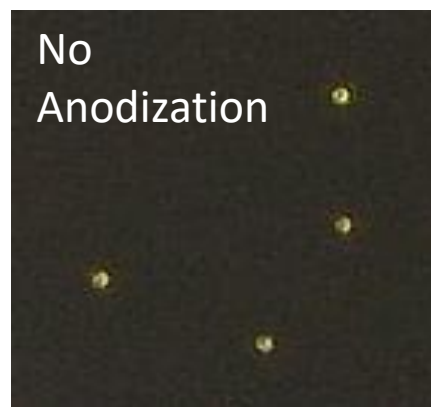
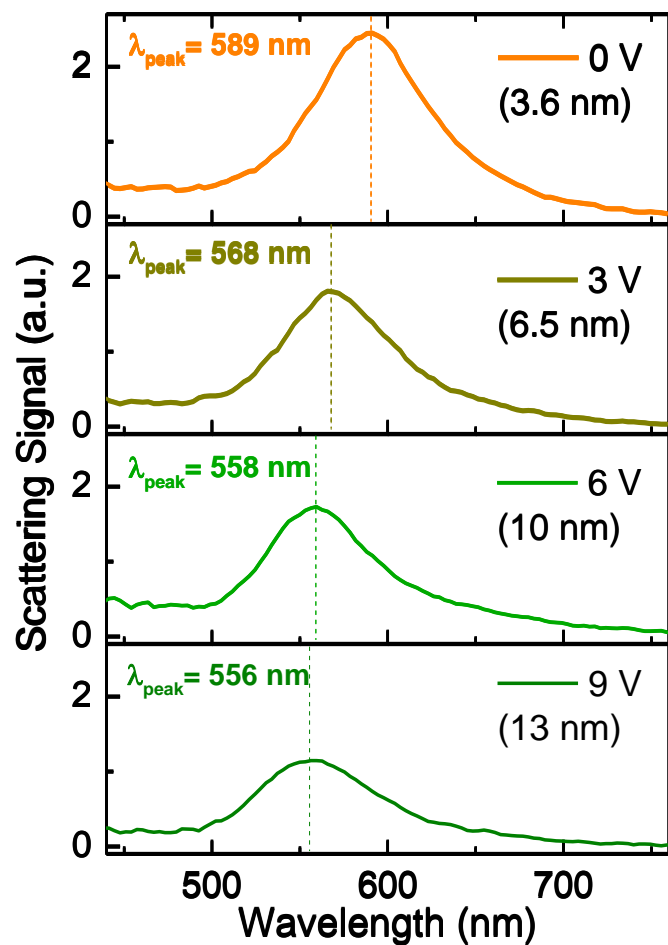


$$I_{SC} = (I_{NP} - I_{REF}) / I_{IN}$$



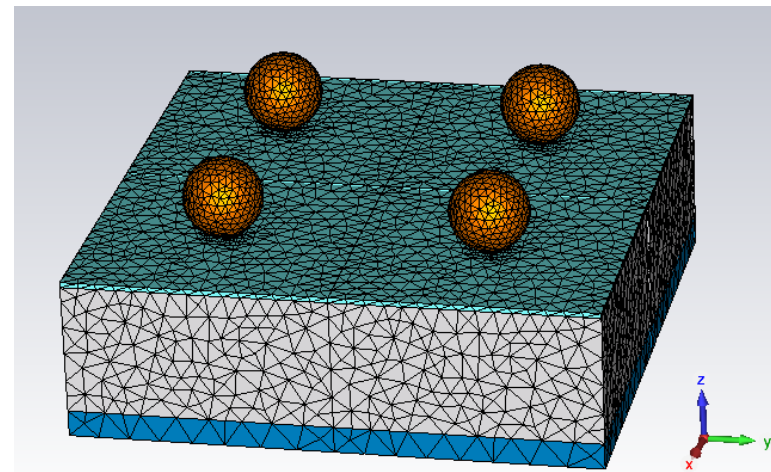
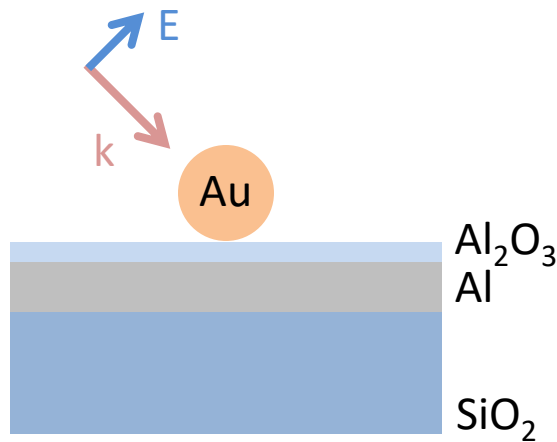
Measurement results

- Same set of the gold nanoparticles
 - Resonances blue-shift, reduce scattering



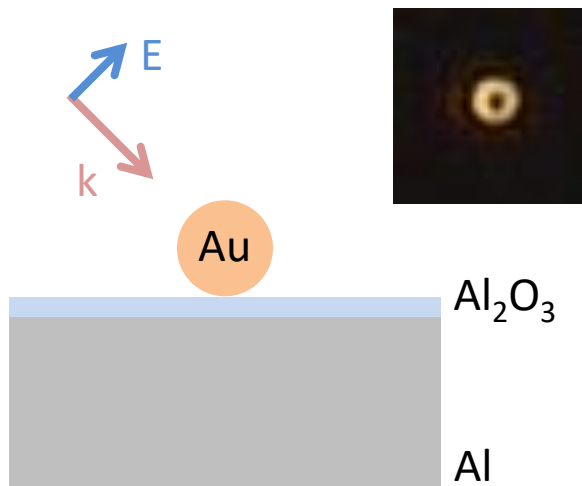
Simulation

- Simulation was conducted based on the experimental structure replacing Si with SiO₂
- Incident angle in the simulations was set at 53° to the surface normal
- Three-dimensional frequency domain electromagnetic simulation
Unit cell boundaries with 200 nm lattice spacing

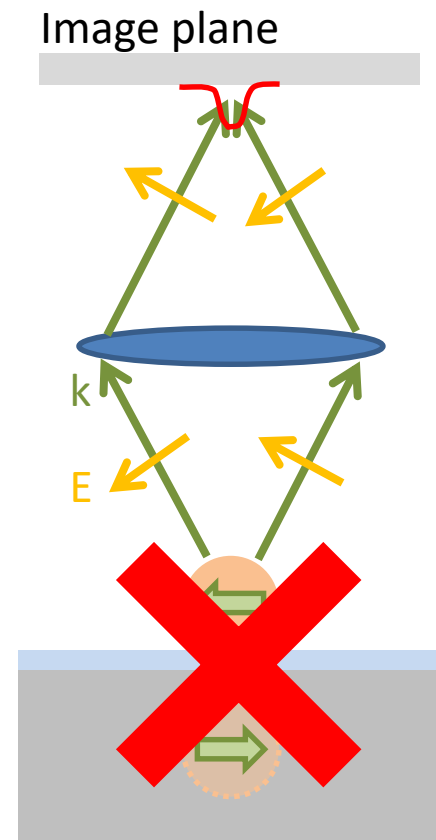
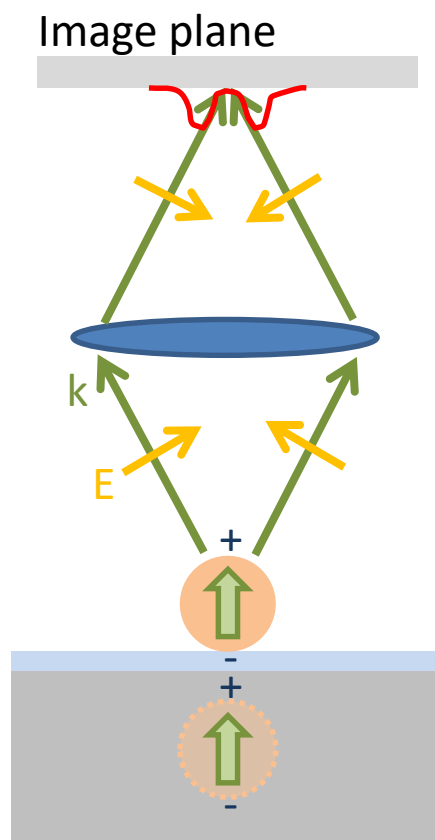


Simulation

- Ring-shape scattering pattern observed in a dark field microscopy image suggests a vertical electric dipole oscillation

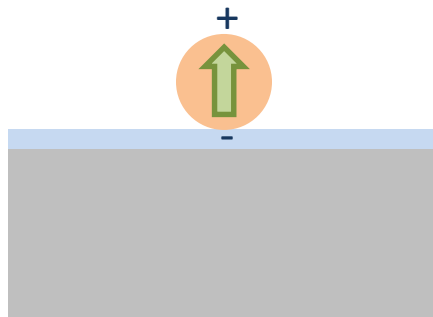


Net dipole → Bright
 Quadrupole → Dark



Simulation

- Ring-shape scattering pattern observed in a dark field microscopy image suggests a vertical electric dipole oscillation
- Scattering spectra were calculated using a dipole radiation model

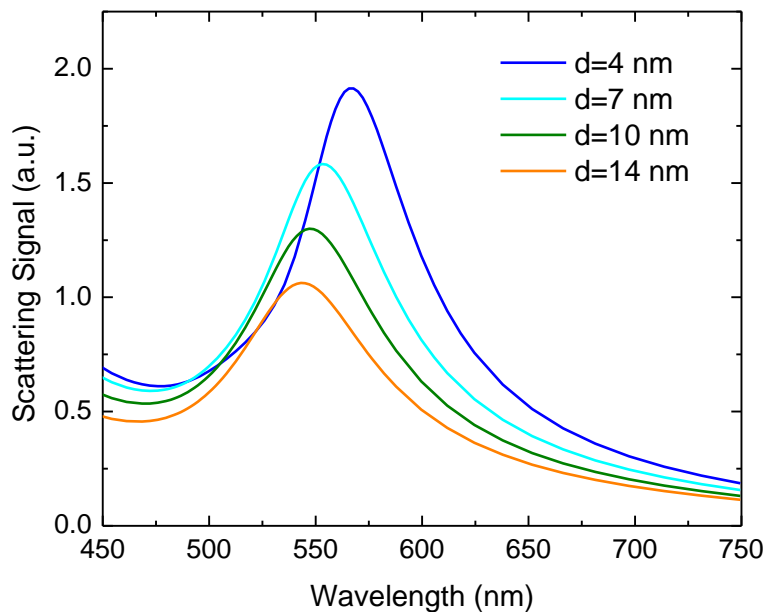


$$P_{rad} \propto |\mu_z|^2 \omega^4$$

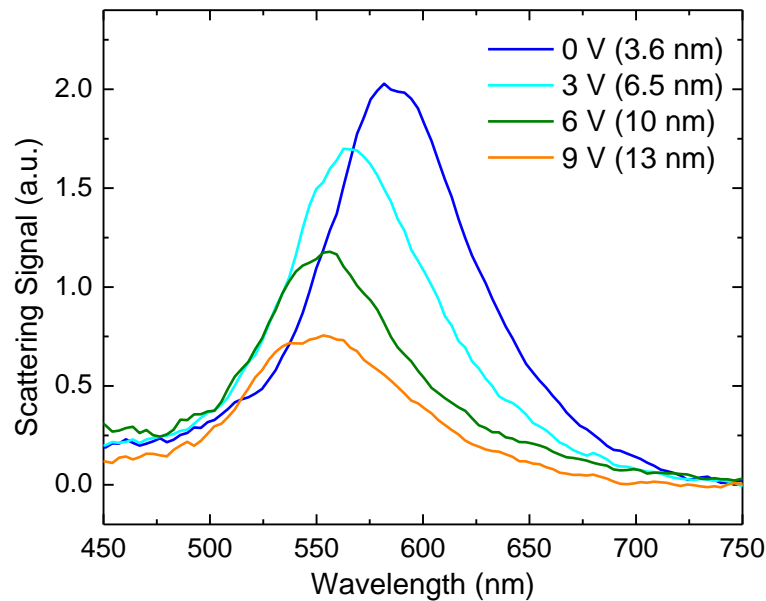
$$\mu_z = \epsilon_0 \chi_{Au} \langle E_z \rangle \times Vol$$

Tuning Results

- Calculated scattering spectra show the same tuning trend as observed in the experiment
- The resonances show blue-shift and decreasing in scattering intensity as Al_2O_3 thickness increases



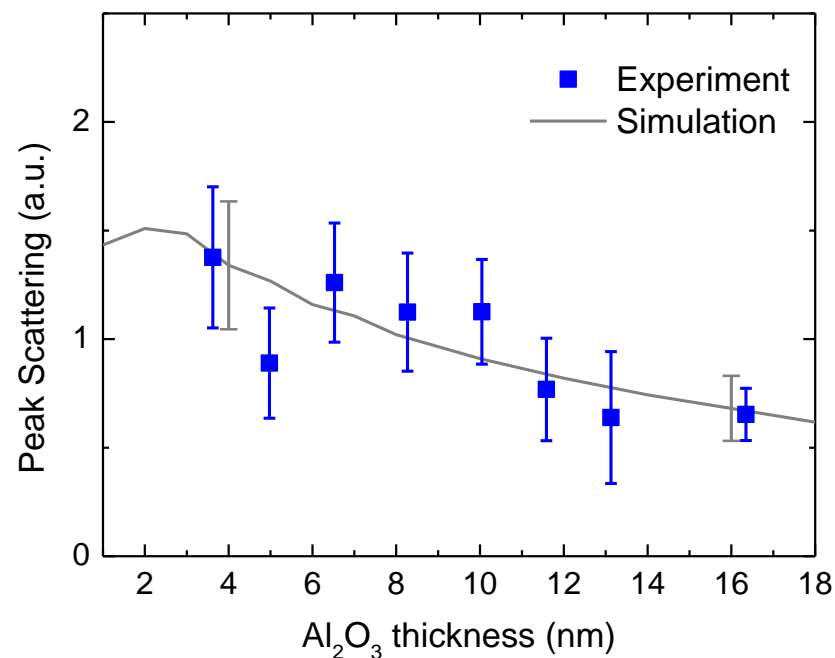
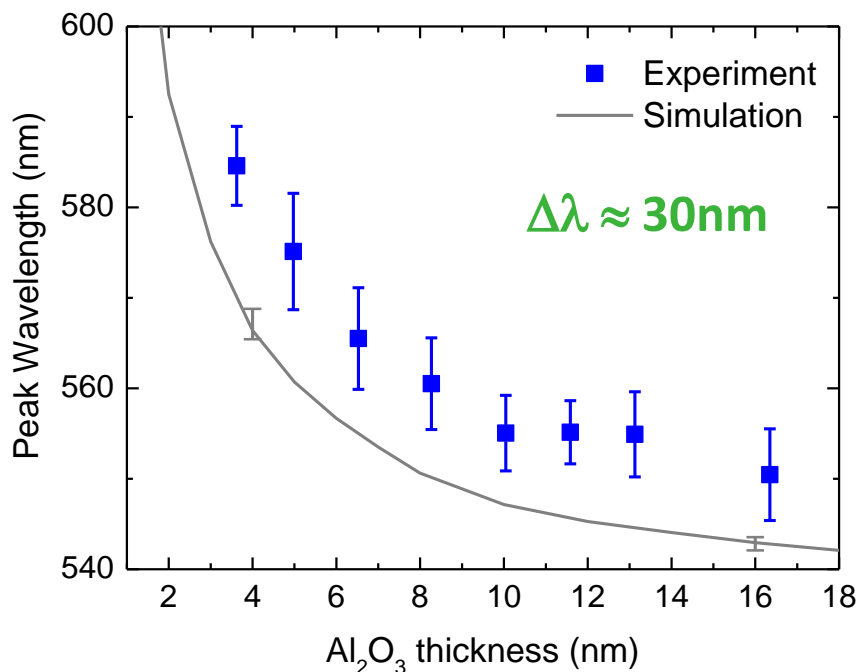
Simulation



Experiment

Tuning Results

- Observed: ~ 30 nm resonance blue-shift upon increasing Al_2O_3 thickness
- 50 % change in brightness observed
- Small particle to particle variation, possibly due to size, faceting, local residues



C. Lumdee, et al. ACS Nano 2012, 6, 6301–6307

Conclusion

- Resonance tuning: single gold nanoparticles on anodized Al film
- Expect: high chemical and thermal stability system
- Voltage controlled resonance tuning
- Single particle tracking → post-deposition tuning
- Selective electric dipole oscillation
- Blue-shift and reduced scattering spectra
- Confirm with numerical calculations

